

Securities Settlement Instruction Form (SI and ISI) 證券交收指示表格

Client Name 客戶名稱		Account Number 賬戶號碼		
<input type="checkbox"/> RECEIVE the following securities for my/our securities account 請安排 收取 下列證券並存入本人/吾等之證券賬戶		<input type="checkbox"/> DELIVER the following securities from my/our securities account 請由本人/吾等之證券賬戶 提取 下列證券並安排交付		
Name of Counterparty/Broker: 交收對手名稱 :				
Counterparty/Broker CCASS Participant ID: 交收對手中央結算參與者編號 :				
Counterparty/Broker Contact Person & Tel No: 交收對手聯絡人及電話號碼 :				
<input type="checkbox"/> Against Payment 貨銀對付交收		<input type="checkbox"/> Free of Payment 無需付款交收		
Stock/ISIN Code 股票/國際證券號碼	Stock Name 股票名稱	Number of Shares 股份數目	Currency 幣種	Settlement Amount 交收金額
Remark 備註 :				

S.V.

Client's Signature 客戶簽署 : _____
 (With Company Chop, if any / 如屬公司客戶請連印章)

Date 日期 : _____

For Company Use Only 本公司專用

Customer Services 客戶服務		Settlement 結算		
Handled by 經辦	Checked by 覆核	charges 費用	Handled by 經辦	Checked by 覆核
		CCASS Handled by 經辦	CCASS Checked by 覆核	Approved by 批核