

客戶名稱 C l i e n t N a m e			
戶口賬號 A / C N o .			
日 期 D a t e	年	月	日



寶生證券及期貨有限公司

Po Sang Securities and Futures Limited

中銀香港集團成員  
A member of BOCHK Group

中央編號 CE No. ACI778

## 證券賬戶開戶書(個人/聯名帳戶)

Securities Account Opening Form (Individual/Joint Account)

## 客戶資料聲明(個人)

### Client Information Statement (Individual)

作為開立賬戶程序的一部份，客戶需填寫此申請表並提供證明文件(如適用，包括因任何法律、規例或任何監管或稅務機構所發出的指引而要求取得的證明或其他文件)。從客戶獲取的資料是為了遵守寶生證券及期貨有限公司(“寶生”)的客戶盡職審查政策、本地法律及條例及/或國際標準所訂立的要求。在國際間打擊洗黑錢、恐怖活動融資及詐騙活動中，此程序至關重要。本申請表的目的是為新或現有客戶開立賬戶及/或申請服務。如客戶未能提供有關資料(# 部分除外)，寶生可能無法處理客戶的申請及/或向客戶提供服務。請參閱寶生的「資料政策通告」或寶生及其相關機構不時以任何名稱發出的有關個人資料的使用、披露及轉移的一般政策的其他文件。對於現有客戶，只要以前已提供予寶生的特定背景資料並無更改，客戶可能無需提供該等資料。如自客戶上一次填寫賬戶開立/修訂文件後該等資料有任何變更，為遵守寶生的客戶盡職審查政策，客戶須從速提供最新資料予寶生，並無論如何須於資料變更後 30 天內提供有關資料。現時已提供予寶生的資料會視為有效及保持不變，直至寶生另行收到通知。儘管如此，寶生有權根據其認為恰當的任何資料來源以更新客戶現存的資料，如有需要寶生可要求客戶確認有關資料。

As part of the account opening process, the Client is required to complete this application form and to provide Client's supporting documents(including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). The information is required from the Client for Po Sang Securities and Futures Limited(“Po Sang”)'s compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of the international efforts to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of opening accounts and/or applying for services by new or existing Clients. Failure to provide the required information (# items excluded) may result in Po Sang's inability to process his/her application and/or to provide services to the Client. Please refer to Po Sang's “Data Policy Notice” or documents under other relevant headings issued by Po Sang and its associate entities from time to time relating to the general policies on the use, disclosure and transfer of personal data. For existing Clients, it may not be necessary for the Client to provide certain background information which the Client has furnished to Po Sang previously, provided that such information remains unchanged. If any such information has changed since the Client has last completed the account opening/ amendment documentation, then for the purpose of Po Sang to comply with its customer due diligence policy, the Client must promptly provide Po Sang with the update information and in any event, not later than 30 days after such change. Existing information furnished to Po Sang is deemed to be valid and unchanged until Po Sang is otherwise notified. Notwithstanding that, Po Sang has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update Client's existing information in Po Sang and may require Client's confirmation if necessary.

請在適當方格內填上☑

Please mark ☑ as appropriate

# 部分的資料並非必須填寫。

Completion of the sections with # is optional.

\* 提供身份證明文件及最近三個月住址證明文件以供核實、影印及存檔。

Provide your identity document(s) and proof residential address (Within the past 3 month) for our verification, copy and filing.

1. 證券交易賬戶類別 Type of Securities Trading Account	
賬戶形式 Account Nature	<input type="checkbox"/> 個人賬戶 Individual Account <input type="checkbox"/> 聯名賬戶 Joint Account
證券賬戶類別 Type of Securities Account	<input type="checkbox"/> 現金賬戶 Cash Account <input type="checkbox"/> 保證金賬戶 Margin Account

## 2. 申請人資料 Particulars of Applicant(s)

### (I) 個人/第一申請人資料 Particulars of Applicant /the First Applicant

#### A 個人資料 Personal Information

客戶名稱 Client's Name	<input type="checkbox"/> 先生 Mr. <input type="checkbox"/> 女士 Mrs. <input type="checkbox"/> 小姐 Miss
	英文：English 中文：Chinese

身份證/護照號碼*(簽發國家/地區)： I.D/Passport No*(Country / Region of Issue)	國籍(國家/地區)： Nationality(Country / Region)
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婚姻狀況#： Marital Status#	<input type="checkbox"/> 單身 Single <input type="checkbox"/> 已婚 Married <input type="checkbox"/> 離婚或分居 Divorced or separated	出生日期： Date of Birth	出生國家/地區： Country/Region of Birth
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住宅地址\*：  
Residential Address\*

長期居留地址是否與住宅地址相同 Permanent Address is same as Residential Address?

是 Yes  否；如否，請註明長期居留地址：  
No; If no, please state Permanent Address

住宅電話號碼： Home Phone No.	手提電話號碼： Mobile Phone No.	電郵地址#： E-mail Address#
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教育程度： Education	<input type="checkbox"/> 小學 Primary <input type="checkbox"/> 中學 Secondary <input type="checkbox"/> 大專 College <input type="checkbox"/> 大學或以上 University or above <input type="checkbox"/> 其他 Others:_____
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結算銀行戶口(只供存款) Settlement A/C(Deposit Only)	銀行名稱 Bank Name	賬戶持有人名稱： A/C Name	賬戶號碼： A/C NO.
港幣 HKD			
美元 USD			
人民幣 RMB			
郵寄單據往 Correspondence Address : <input type="checkbox"/> 住宅 Residential <input type="checkbox"/> 公司 Office <input type="checkbox"/> 其他：Other _____			

<b>B 業務/工作狀況 Business/Employment Status</b>			
就業情況： Employment Status	<input type="checkbox"/> 受僱 Employment	<input type="checkbox"/> 未受僱 Unemployment	<input type="checkbox"/> 僱主/自營 Employer/Self-Employed
	<input type="checkbox"/> 學生 Student	<input type="checkbox"/> 家庭主婦 Housewife	<input type="checkbox"/> 退休 Retired <input type="checkbox"/> 其他 Other: _____
僱主名稱： Name of Employer	職位： Job Title		
職業性質 Nature of Occupation	<input type="checkbox"/> 醫生 Doctor	<input type="checkbox"/> 文員/秘書 Clerk Secretary	<input type="checkbox"/> 行政人員 Executive
	<input type="checkbox"/> 醫護人員 Paramedic	<input type="checkbox"/> 客戶服務 Customer Service	<input type="checkbox"/> 藍領 Blue-Collars
	<input type="checkbox"/> 警務人員 Police Force	<input type="checkbox"/> 其他 Other _____	
公司地址 Office Address			
行業性質： Nature of Business	公司電話： Office Phone No.	傳真機號碼： Fax No.	從業年數： Years in Occupation

<b>C 財政狀況 Financial Situation</b>			
全年收入(港幣)： Annual Income (HKD)	<input type="checkbox"/> 0 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000	<input type="checkbox"/> \$500,001 - \$1,000,000
	<input type="checkbox"/> \$1,000,001 - \$3,000,000	<input type="checkbox"/> \$3,000,001 - \$5,000,000	<input type="checkbox"/> >\$5,000,000
流動資產： Liquid Asset	<input type="checkbox"/> 股票 Securities	<input type="checkbox"/> 存款 Deposit	<input type="checkbox"/> 其他 Others: _____
流動資產淨值(港幣)： Net liquid Assets(HKD)	<input type="checkbox"/> 0 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000	<input type="checkbox"/> \$500,001 - \$1,000,000
	<input type="checkbox"/> \$1,000,001 - \$5,000,000	<input type="checkbox"/> \$5,000,001 - \$10,000,000	<input type="checkbox"/> \$10,000,001 - \$30,000,000
	<input type="checkbox"/> \$30,000,001 - \$50,000,000	<input type="checkbox"/> >\$50,000,000	
住宅類別： Nature of Residence	<input type="checkbox"/> 自置 Owned	<input type="checkbox"/> 與家人同住 With Parents	<input type="checkbox"/> 按揭/每月供款(港幣) Mortgaged/Monthly Installment(HK\$)
	<input type="checkbox"/> 宿舍 Quarters	<input type="checkbox"/> 租用/月租(港幣) Rented/Monthly Rental(HK\$) _____	居住年期： Living period
資金來源： Source of funds	<input type="checkbox"/> 受僱薪金 Salary	<input type="checkbox"/> 收入累積 Income Accumulation	<input type="checkbox"/> 世襲財產/遺產 Estates
	<input type="checkbox"/> 退休金/儲備 Retirement Fund / Reserves	<input type="checkbox"/> 佣金 / 生意收 Commission / Business Income	<input type="checkbox"/> 投資收入 Return of Investment
	<input type="checkbox"/> 出售資產 (詳細: _____) Sales of Property (Please specify)		<input type="checkbox"/> 其他: _____ Others (Please specify):

<b>D 投資經驗及目的 Investment Experience &amp; Objectives</b>					
投資知識： Investment Knowledge	<input type="checkbox"/> 全無 None		<input type="checkbox"/> 有限 Limited		<input type="checkbox"/> 較好 Some <input type="checkbox"/> 良好 Good
投資經驗： Investment Experience	沒有 No	1 年以下 Below 1 year	1-3 年 1-3 years	3-5 年 3-5 years	5 年以上 Above 5 years
證券 Securities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
期貨 Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
期權/認股權證 Options/Warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
外匯/貴金屬 FX/Precious Metals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
其他 Others:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
投資目的： Investment Objectives	<input type="checkbox"/> 資本投資 Capital Inv.	<input type="checkbox"/> 對沖 Hedging	<input type="checkbox"/> 套戩 Arbitrage	<input type="checkbox"/> 投機 Speculation	<input type="checkbox"/> 其他(請說明) Other(Specify)

<b>E 投資者分類 Investor Characterization</b>			
閣下是否對衍生產品有認識? Have you had adequate knowledge on derivative products?		<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
若閣下對衍生產品有認識, 請回答以下問題: If you have the knowledge on derivative products, please answer the following questions			
閣下是否曾接受有關衍生產品性質和風險的一般知識培訓或修讀相關課程? Have you ever undergone training or attended courses on derivate products with general knowledge of the nature and risks of derivatives?		<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
閣下是否從現時或過去擁有與衍生產品有關的工作經驗? Have you had current or previous work experience related to derivative products?		<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
閣下是否於過去 3 年曾執行 5 次或以上有關衍生產品的交易? Have you executed five or more transactions within the past three years in derivative products?		<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No

<b>F 其他資料披露 Other Disclosures</b>			
閣下或閣下的僱主是否是證監會或金管局的持牌人或註冊人? Are you or your employer a person licensed/registered with the SFC/HKMA?			
<input type="checkbox"/> 否 No	<input type="checkbox"/> 是; 如果是, 請具體列名有關資料。如您的僱主屬上述人士請提供僱主允許開戶函。資料: Yes; If yes, please provide details and a consent letter from your employer for your opening an account with Po Sang .		
閣下或閣下親屬是否受僱於寶生? Are you or your relatives currently employed by Po Sang?			
<input type="checkbox"/> 否 No	<input type="checkbox"/> 是; 如是, 請註明姓名及關係: Yes; If yes, please state Name & Relationship		
閣下或閣下親屬是否寶生現有賬戶? Are you or your relatives currently maintaining an account with Po Sang?			
<input type="checkbox"/> 否 No	<input type="checkbox"/> 是; 如是, 請註明賬號及戶名: Yes; If yes, please state A/C No. & Name		
戶口最終權益擁有人是: Name of Ultimate beneficial owner(s) of the A/C			
在香港開戶原因及用途(只適用於非香港永久性居民身份證持有人填寫) : Reason(s) and Purpose(s) for Account Opening in Hong Kong (applicable to non HK permanent ID card holder(s))			
<input type="checkbox"/> 移民 Immigration	<input type="checkbox"/> 工作 Work	<input type="checkbox"/> 升學 Study	<input type="checkbox"/> 在香港投資 Investment in Hong Kong
		<input type="checkbox"/> 其他(請說明) Others (Please Specify)	
您如何知悉寶生? How do you know Po Sang?			
<input type="checkbox"/> 他人介紹 Relative Introduction	<input type="checkbox"/> 宣傳單 Leaflet	<input type="checkbox"/> 銀行推介 Bank Introduction	<input type="checkbox"/> 其他: Other

<b>(II) 第二申請人資料 Particulars of the Second / Joint Applicant</b>			
<b>A 個人資料 Personal Information</b>			
客戶名稱: Client's Name		<input type="checkbox"/> 先生 Mr. 英文: English	<input type="checkbox"/> 女士 Mrs. 中文: Chinese
		<input type="checkbox"/> 小姐 Miss	
身份證/護照號碼*(簽發國家/地區): I.D/Passport No*(Country / Region of Issue)		國籍(國家/地區): Nationality(Country / Region)	
婚姻狀況#: Marital Status#	<input type="checkbox"/> 單身 Single	<input type="checkbox"/> 已婚 Married	<input type="checkbox"/> 離婚或分居 Divorced or separated
住宅地址★: Residential Address★		出生日期: Date of Birth	出生國家/地區: Country/Region of Birth
長期居留地址是否與住宅地址相同 Permanent Address is same as Residential Address?			
<input type="checkbox"/> 是 Yes			
<input type="checkbox"/> 否; 如否, 請註明長期居留地址: No; If no, please state Permanent Address			
住宅電話號碼: Home Phone No.	手提電話號碼: Mobile Phone No.	電郵地址#: E-mail Address#	
教育程度: Education	<input type="checkbox"/> 小學 Primary	<input type="checkbox"/> 中學 Secondary	<input type="checkbox"/> 大專 College
		<input type="checkbox"/> 大學或以上 University or above	<input type="checkbox"/> 其他 Others:_____
結算銀行戶口(只供存款) Settlement A/C(Deposit Only)	銀行名稱 Bank Name	賬戶持有人名稱: A/C Name	賬戶號碼: A/C NO.
港幣 HKD			
美元 USD			
人民幣 RMB			
郵寄單據往 Correspondence Address :			
<input type="checkbox"/> 住宅 Residential			
<input type="checkbox"/> 公司 Office			
<input type="checkbox"/> 其他: Other _____			

<b>B 業務/工作狀況 Business/Employment Status</b>			
就業情況 Employment Status	<input type="checkbox"/> 受僱 Employment	<input type="checkbox"/> 未受僱 Unemployment	<input type="checkbox"/> 僱主/自營 Employer/Self-Employed
	<input type="checkbox"/> 學生 Student	<input type="checkbox"/> 家庭主婦 Housewife	<input type="checkbox"/> 退休 Retired <input type="checkbox"/> 其他 Others: _____
僱主名稱: Name of Employer	職位: Job Title		
職業性質 Nature of Occupation	<input type="checkbox"/> 醫生 Doctor	<input type="checkbox"/> 文員/秘書 Clerk Secretary	<input type="checkbox"/> 行政人員 Executive
	<input type="checkbox"/> 醫護人員 Paramedic	<input type="checkbox"/> 客戶服務 Customer Service	<input type="checkbox"/> 藍領 Blue-Collars
	<input type="checkbox"/> 警務人員 Police Force	<input type="checkbox"/> 其他 Other _____	
公司地址 Office Address:			
行業性質: Nature of Business	公司電話: Office Phone No.	傳真機號碼: Fax No.	從業年數: Years in Occupation

<b>C 財政狀況 Financial Situation</b>			
全年收入(港幣): Annual Income (HKD)	<input type="checkbox"/> 0 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000	<input type="checkbox"/> \$500,001 - \$1,000,000
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流動資產: Liquid Asset	<input type="checkbox"/> 股票 Securities	<input type="checkbox"/> 存款 Deposit	<input type="checkbox"/> 其他 Others: _____
流動資產淨值(港幣): Net liquid Assets(HKD)	<input type="checkbox"/> 0 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000	<input type="checkbox"/> \$500,001 - \$1,000,000
	<input type="checkbox"/> \$1,000,001 - \$5,000,000	<input type="checkbox"/> \$5,000,001 - \$10,000,000	<input type="checkbox"/> \$10,000,001 - \$30,000,000
	<input type="checkbox"/> \$30,000,001 - \$50,000,000	<input type="checkbox"/> >\$50,000,000	
住宅類別: Nature of Residence	<input type="checkbox"/> 自置 Owned	<input type="checkbox"/> 與家人同住 With Parents	<input type="checkbox"/> 按揭/每月供款(港幣) Mortgaged/Monthly Installment(HK\$)
	<input type="checkbox"/> 宿舍 Quarters	<input type="checkbox"/> 租用/月租(港幣) Rented/Monthly Rental(HK\$) _____	居住年期: Living period
資金來源: Source of funds	<input type="checkbox"/> 受僱薪金 Salary	<input type="checkbox"/> 收入累積 Income Accumulation	<input type="checkbox"/> 世襲財產/遺產 Estates
	<input type="checkbox"/> 退休金/儲備 Retirement Fund / Reserves	<input type="checkbox"/> 佣金 / 生意收 Commission / Business Income	<input type="checkbox"/> 投資收入 Return of Investment
	<input type="checkbox"/> 出售資產 (詳細: _____) Sales of Property (Please specify)		<input type="checkbox"/> 其他: _____ Others (Please specify):

<b>D 投資經驗及目的 Investment Experience &amp; Objectives</b>					
投資知識: Investment Knowledge	<input type="checkbox"/> 全無 None	<input type="checkbox"/> 有限 Limited	<input type="checkbox"/> 較好 Some	<input type="checkbox"/> 良好 Good	
投資經驗: Investment Experience	沒有 No	1 年以下 Below 1 year	1-3 年 1-3 years	3-5 年 3-5 years	5 年以上 Above 5 years
證券 Securities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
期貨 Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
期權/認股權證 Options/Warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
外匯/貴金屬 FX/Precious Metals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
其他 Others: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
投資目的: Investment Objectives	<input type="checkbox"/> 資本投資 Capital Inv.	<input type="checkbox"/> 對沖 Hedging	<input type="checkbox"/> 套戩 Arbitrage	<input type="checkbox"/> 投機 Speculation	<input type="checkbox"/> 其他(請說明) Other(Specify) _____

<b>E 投資者分類 Investor Characterization</b>		
閣下是否對衍生產品有認識? Have you had adequate knowledge on derivative products?	<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
<b>若閣下對衍生產品有認識, 請回答以下問題: If you have the knowledge on derivative products, please answer the following questions</b>		
閣下是否曾接受有關衍生產品性質和風險的一般知識培訓或修讀相關課程? Have you ever undergone training or attended courses on derivate products with general knowledge of the nature and risks of derivatives?	<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
閣下是否從現時或過去擁有與衍生產品有關的工作經驗? Have you had current or previous work experience related to derivative products?	<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
閣下是否於過去 3 年曾執行 5 次或以上有關衍生產品的交易? Have you executed five or more transactions within the past three years in derivative products?	<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No

**F 其他資料披露 Other Disclosures**

閣下或閣下的僱主是否是證監會或金管局的持牌人或註冊人？

Are you or your employer a person licensed/registered with the SFC/HKMA?

否  是； 如果是，請具體列名有關資料。如您的僱主屬上述人士請提供僱主允許開戶函。資料：  
No Yes; If yes, please provide details and a consent letter from your employer for your opening an account with Po Sang.

閣下或閣下親屬是否受僱於寶生？

Are you or your relatives currently employed by Po Sang?

否  是； 如是，請註明姓名及關係：  
No Yes; If yes, please state Name & Relationship

閣下或閣下親屬是否寶生現有賬戶？

Are you or your relatives currently maintaining account(s) with Po Sang?

否  是； 如是，請註明賬號及戶名：  
No Yes; If yes, please state A/C No. & Name

戶口最終權益擁有人是：

Name of Ultimate beneficial owner(s) of the A/C

在香港開戶原因及用途(只適用於非香港永久性居民身份證持有人填寫)：

Reason(s) and Purpose(s) for Account Opening in Hong Kong (applicable to non HK permanent ID card holder(s))

移民  工作  升學  在香港投資  其他(請說明)  
Immigration Work Study Investment in Hong Kong Others (Please Specify)

您如何知悉寶生？

How do you know Po Sang?  他人介紹  宣傳單  銀行推介  其他：

Relative Introduction Leaflet Bank Introduction Other

**3. 保證金賬戶身份聲明 Identity Declaration for Margin Account Only**

相關保證金融資賬戶

Related Margin Financing Account(s)

1. 客戶的配偶是否持有寶生任何相關保證金融資賬戶？

Does spouse of the Client maintain any related margin financing account of Po Sang?

否  是； 如是，請說明賬戶號碼及戶名：  
No Yes if yes, please state Account No. & Name:

2. 客戶及/或其配偶是否單獨或共同控制寶生之其他保證金融資賬戶 35%或以上之表決權？

Does the Client alone or jointly with his/her spouse control 35% or more of the voting rights of another financing margin account with Po Sang?

否  是； 如是，請說明賬戶號碼及戶名  
No Yes, if yes, please state Account No. & Name:

3. 客戶所控制/有關連的公司是否持有寶生保證金融資賬戶？

Does any company(ies) controlled by /associated with the Client maintain a margin financing account with Po Sang?

否  是； 如是，請說明賬戶號碼及戶名  
No Yes, if yes, please state Account No. & Name:

**4. 賬戶服務申請 Account Services Application****結單語言選項 STATEMENT LANGUAGE SELECTION**

結單語言  英文  繁體中文  簡體中文  
Statement Language: English Traditional Chinese Simplified Chinese

**電子結單服務 E-STATEMENT SERVICE**

客戶申請電子結單服務 Client applies to use e-Statement Service :

電郵提示語言  英文  繁體中文  簡體中文  
E-mail Alert Language: English Traditional Chinese Simplified Chinese

結單種類  月結單 Monthly Statement  日結單 Daily Statement  
Statement Type:

**(非網上交易) 電子通知/短訊服務(Non-Internet Trading)E-NOTIFICATION/SHORT MESSAGING SERVICE**

客戶申請使用電子通知/短訊服務 Client applies to use E-Notification / Short Messaging Service:

電子通知服務 E-Notification Service  短訊服務 Short Messaging Service

電子通知服務/短訊服務語言  英文  繁體中文  簡體中文  
E-Notification Service / Short Messaging Service Language English Traditional Chinese Simplified Chinese

**互聯網交易帳戶活動即時通知 Prompt Notification on Internet Account Activities** 選擇不收取「執行交易」即時通知 (不適用於成交盤即時通知)

Opt Out of Receiving Prompt Notification of Trade Execution (not applicable to Prompt Notification of Executed Order)

本人/吾等確認已閱讀、明白及同意“選擇互聯網交易不收取「執行交易」即時通知之風險披露聲明”，並明白本人/吾等的賬戶可能因此而招致損失。

I/We confirmed that I/We have read, understood and agreed “The Risk Disclosure Statement of Opt Out of Receiving Prompt Notification of Trade Execution on Internet Trading”, and understood that my/our account may cause loss from the Opt Out.

**賬戶交易密碼 ACCOUNT TRADING PASSWORD**

領用  未有領用 網上交易密碼  
Apply Not Apply Internet trading PIN  
 領用  未有領用 電話交易密碼  
Apply Not Apply Phone trading password

## 5. 客戶聲明 Client Declaration

本人／吾等謹此聲明此賬戶開戶書上提供之所有資料均為有效、真實、完整、準確及最新，且本人／吾等授權寶生證券及期貨有限公司（「寶生」）從其認為合適的來源驗證該等資料。本人／吾等進一步同意如該等資料有任何變更會從速以書面形式通知寶生，並無論如何須於資料變更後 30 天內提供任何替代或文件的核證副本（如適用，包括因任何法律、規例或任何監管或稅務機構所發出的指引而要求取得的證明或其他文件）。本人／吾等確認，寶生有權根據其認為恰當的任何資料來源以更新其現存的資料，如有需要寶生可要求本人／吾等確認有關資料。除上述提供的資料外，本人／吾等清楚寶生有權要求本人／吾等提供更多相關的資料或證明文件。本人／吾等清楚寶生有權隨時聯絡任何人士，包括本人／吾等往來之銀行或經紀或任何信貸機構，以求驗證就申請開戶所提供的資料。本人／吾等明白本人／吾等遞交此開戶書和寶生接收此開戶書，並非表示寶生同意開立賬戶予本人／吾等，並清楚寶生保留權利拒絕本人／吾等的開戶申請，而無需給予任何理由。

I/We declare that all information given in this Account Opening Form and the supporting documents provided by me/us to Po Sang Securities and Futures Limited in relation to this application is valid, true, complete, accurate and up-to-date and I/We authorize Po Sang Securities and Futures Limited ("Po Sang") to verify such information from any source it may consider appropriate. I/We further agree to notify Po Sang promptly in writing upon occurrence of any changes to that information, in any event not later than 30 days after such change, and to provide certified copies of any replacement or documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable). I/We acknowledge that Po Sang has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update my/our existing information and may require my confirmation if necessary. I/We understand that I/We may be required to provide additional information or submit documentary proof as to the information provided in this form when requested to do so. Po Sang is authorized at any time to contact anyone, including but without limitation to any banks or brokers engaged by me/us or any credit agency, for purposes of verifying the account opening information. I/We understand that my/our submission of this form and the acceptance of this form by Po Sang in no way implies Po Sang's approval for opening of any account for me/us and that reserves the right to reject my/our application without giving reasons.

適用於申請電子通知／短訊服務的客戶 Applicable to Clients applying for E-Notification / Short Messaging Service

本人確認已閱讀及明白寶生的《電子通知／短訊服務條款及細則》，並同意接受相關條款的約束（以寶生不時修訂的版本為準）。本人亦同意成功申請電子通知／短訊服務後，將透過電子通知／短訊接收指令的執行結果，寶生將不會另行致電本人／吾等覆實。本人同意電子通知／短訊將透過本人已登記於寶生現存記錄的電郵地址／流動電話號碼發出，如該電郵地址／電話號碼有任何改變，本人將迅速通知寶生，而寶生有權依據該記錄，直至接獲本人之更改書面通知為止。

I confirm that I have read and understood the Terms and Conditions for E-Notification / Short Messaging Service of Po Sang, and agree to abide by them (as amended by Po Sang from time to time). I also agree that upon successful application of the E-Notification / Short Messaging, I will receive the result of execution of orders via email / short messages and Po Sang will not notify me by personal telephone call. I agree that E-Notification / short messages will be sent to my E-mail address / mobile phone number registered in Po Sang's existing record. I will notify Po Sang promptly of any change of such E-mail address / phone number and Po Sang is entitled to rely on such record until it receives my written notice of such change.

適用於申請電子結單服務的客戶 Applicable to Clients applying for e-Statement Service

本人確認已閱讀及明白寶生的《電子結單服務條款及細則》，並同意接受相關條款的約束（以寶生不時修訂的版本為準）。本人亦同意成功申請電子結單服務後，寶生將停止寄發郵寄版結單。本人同意電子提示電郵將透過本人已登記於寶生現存記錄的電郵地址發出，如該電郵地址有任何改變，本人將迅速通知寶生，而寶生有權依據該記錄，直至接獲本人之更改書面通知為止。

I confirm that I have read and understood the Terms and Conditions for e-Statement Service of Po Sang, and agree to abide by them (as amended by Po Sang from time to time). I also agree that upon successful application of the e-Statement Service, Po Sang will cease to send the physical form of the statements. I agree that e-Alert mail will be sent to my e-mail address registered in Po Sang's existing record. I will notify Po Sang promptly of any change of such e-mail address and Po Sang is entitled to rely on such record until it receives my written notice of such change.

本人／吾等謹此承諾並確認：I/We hereby acknowledge and confirm that:

- 本人／吾等已收到、閱讀及明白證券交易帳戶協議內之條款（包括其附表、附錄及附件（如有））（「協議」）而本人／吾等接納並同意受該等條款約束；

I/we have received, read and understood the terms and conditions contained therein the Agreement for Securities Trading Account (and the schedules, appendices and annexes thereto, if any) (the "Agreement"), and I/we accept to be bound by them;

- 本人／吾等是為結算、保管、保證金、交易或其他用途提供予或存入（或將來提供予或存入）寶生的所有金錢、資金、證券、抵押品或其他資產之實益擁有人，寶生無需另行查證該些金錢、資金、證券、抵押品或資產的來源或擁有權。如客戶並非實益擁有人，客戶須立即通知寶生實益擁有人的身份及資料（或該資料其後的變更）。寶生有絕對酌情權決定是否接納該等金錢、資金、證券、抵押品或資產及／或有關指示，且無須因為其接納或拒絕而引致（直接或間接）或與之相關客戶所蒙受的任何損失、損害賠償、開支或利潤損失承擔法律責任。

I am/We are the beneficial owner(s) of all moneys, funds, securities, collateral or other assets provided or deposited or to be provided or deposited by the Client to or with Po Sang for settlement, safekeeping, margin, trading or other purposes, and shall not be required to inquire into the source or ownership of such moneys, funds, securities, collateral or properties. In the event that the Client is not the beneficial owner(s), the Client shall promptly inform Po Sang of the identities and particulars of the beneficial owner(s) and any subsequent changes to such information. Po Sang shall have the absolute discretion in accepting or refusing any or all such moneys, funds, securities, collateral or properties and/or the relevant instructions, and Po Sang shall not be held liable for any loss, damages, expenses, loss of profit whatsoever suffered and/or incurred by the Client arising out of (directly or indirectly) or in connection with such acceptance or refusal.

- （適用於聯名帳戶）聯名客戶同意寶生有權可接受聯名客戶的其中任何一位或所有人士所發出有關帳戶的任何指示（寶生無責任向其他聯名客戶發出通知或取得其授權）。每名聯名客戶均有權處理帳戶內的任何運作及代表所有聯名客戶行使本協議項下的所有權利、權力及酌情權。本協議中「客戶」一詞應包括每位聯名客戶，且各聯名客戶在本協議下的責任須共同及個別承擔。

(Applicable for Joint Account) The Joint Clients agree the Po Sang shall be entitled to accept the instructions of any one or all of the Joint Clients in relation to the Account(s) (without any obligation for Po Sang to give notice to or obtain authorization from the other Joint Client(s)), and each of the Joint Clients shall have the authority to operate the Account(s) and exercise all rights, powers and discretion pursuant to the Agreement on behalf of all the Joint Clients. The expression "Client" in this Agreement shall include each Joint Client and the liabilities of the Joint Clients under the Agreement shall be joint and several.

- 寶生可透過通知客戶，修訂、更改、刪減或取代本協議之任何條款或加入新的條款。本協議內的任何條文在運作上不會

## 5. 客戶聲明 Client Declaration

消除、免排除或限制在香港法律之下客戶之任何權利或寶生之任何責任。

Po Sang may by notice to the Client change, amend, delete or substitute any of the terms in or add new terms to the Agreement. No provision of this Agreement shall operate to remove, exclude or restrict any rights of the Client or obligations of Po Sang under Hong Kong law.

- 本人/吾等授權寶生指示，聘用及委任其按絕對酌情權視為適當的執行或結算經紀、代理人、託管人、代名人、海外經紀及交易商(包括寶生的分行或附屬成員)，以代表客戶執行、進行、交易、實施、結算及交收任何指示及交易。客戶知悉及同意承擔因相關代理人違約、未能履行其責任，或相關代理人破產、停業，而導致客戶無法完成交易或蒙受損失之風險，且寶生不須對上述引致的損失或損害承擔責任。

I/We authorize Po Sang to instruct, engage and appoint such executing or clearing brokers, agents, custodians, nominees, overseas broker and dealers (including branches or affiliates of Po Sang) as Po Sang may in its absolute discretion deem fit to execute, effect, trade, implement, clear and settle any instructions and transactions on the Client's behalf. The Client acknowledges and accepts the risks of loss or failure in completion of transactions in the event of the defaults or failure of such agent in fulfilling its roles, or its winding up or cessation of business, and Po Sang will not be responsible for any losses or damages incurred or suffered as a result thereof.

- 本人/吾等明白且同意，寶生有權不時要求提供其認為合適的該些文件及資料(包括但不限於客戶、客戶委任代表其就帳戶發出指示的任何人士("獲授權人士")及就客戶而言的任何實益擁有人、最初負責發出指示的人士、將會從交易中取得商業或經濟利益或承擔其商業或經濟風險的人士之身份、指示或開立帳戶之目的及預期交易性質、資金來源及由來、預期將進行的活動程度及獲授權人士之權限)，以遵守任何法規要求(包括但不限於防止清洗黑錢、恐怖分子資金籌集及提供財務及/或其他服務予可能受制裁之人士或實體)及於開立帳戶或接受任何指示前進行客戶身份辨別、核實及盡職審查。若未能提供該些文件或資料或客戶身份辨別、核實及盡職審查程序未能以令寶生滿意的方式完成，則寶生有權拒絕開立帳戶，或暫止或終止帳戶，或拒絕接受指示。

I/We understand and agree that Po Sang has the right to request for such documents and information (including but not limited to the identities of the Client, any person appointed by the Client to give instructions on its behalf in relation to the Accounts ("Authorized Persons"), any beneficial owner in relation to the Client or the persons ultimately responsible for originating instructions or who stand to gain the commercial or economic benefit of transactions or who bear the commercial or economic risk, the purpose and intended nature of the instructions or the opening of the Account(s), the source and origin of funds, the anticipated level of activity to be undertaken and the authority of the Authorized Persons) from time to time as it deems appropriate for the purpose of complying with any regulatory requirements (including but not limited to the prevention of money laundering, terrorist financing and provision of financial and/or other services to persons or entities which may be subject to sanctions) and conducting client identification, verification and due diligence before opening the Account(s) or accepting any instructions. Po Sang may refuse to open, suspend or terminate the Account(s) or reject the instructions if such documents or information are not provided or the client identification, verification or due diligence exercise is not completed to the satisfaction of Po Sang.

- 本人/吾等已獲提供以本人/吾等選擇語言(英文或中文)所撰寫的風險聲明：  
the risk disclosure statements were provided to me/us in a language of my/our choice (English or Chinese);
- 本人/吾等已獲邀請參閱風險披露聲明，並按其意願提出問題及諮詢獨立意見：  
I/we was/were invited to read the risk disclosure statements, and to ask questions and take independent advice if I/we so wished; and
- 本人/吾等已參閱寶生給予客戶資料政策通告，並明白且同意其條款。  
I/we have read, understand and agree to the terms of Data Policy Notice provided by Po Sang

## 6. 客戶簽署 Client's Signature

客戶不欲寶生使用其個人資料經以下渠道作直銷推廣 (請以"✓"選擇渠道):-

The Client does not wish Po Sang to use his/her personal data in direct marketing via the following channel(s) (please use "✓" to select the channel(s)):-

電子渠道 Electronic Channels       郵件 Mail       專人電話 Personal Call

如客戶沒有在以上任何方格內以"✓"號顯示其選擇，即代表客戶並不拒絕寶生任何形式的直銷推廣。

If the Client returns this Form without ticking any of the above boxes, it means that the Client does not wish to opt-out from any form of Po Sang's direct marketing.

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為改善及提供更全面的服務予寶生的客戶，寶生可能會將客戶的個人資料提供予「本集團」▲其他成員及其他人作其包括財務、保險、信用卡、證券、商品、投資、銀行及相關服務和產品及授信的直銷推廣。若客戶不欲寶生提供其個人資料予以上人士作以上用途，請閣下在這方格上以"✓"號表示。

To improve and provide more comprehensive services to our Clients, Po Sang may provide the Client's personal data to other members of the Group▲ and any other persons for their use in direct marketing of financial, insurance, credit card, securities, commodities, investment, banking and related services and products and facilities and so forth. Please tick"✓" this box if the Client does not wish Po Sang to provide Client's personal data to the above persons for the above purposes.

▲「本集團」指寶生及其控股公司、分行、附屬公司、代表辦事處及附屬成員，不論其所在地。附屬成員包括寶生的控股公司之分行、附屬公司、代表辦事處及附屬成員，不論其所在地。

The "Group" means Po Sang and its holding companies, branches, subsidiaries, representative offices and affiliates, wherever situated. Affiliates include branches, subsidiaries, representative offices and affiliates of Po Sang's holding companies, wherever situated.

以上代表客戶現在對是否接收直銷推廣資料，以及對寶生擬將其個人資料提供予「本集團」▲其他成員作其直銷推廣的選擇，亦取代任何客戶之前已告知寶生的選擇。請注意，客戶以上的選擇適用於根據寶生的《資料政策通告》上所載的產品、服務及/或標的類別的直銷推廣。請客戶參考該通告上以得知在直銷推廣上可使用的個人資料的種類，以及客戶的個人資料可提供予甚麼類別的人士以供該等人士在直銷推廣中使用。



## 6. 客戶簽署 Client's Signature

The above represents the Client's present choice regarding whether or not to receive direct marketing materials, and Po Sang's intended provision of Client's personal data to other members of the Group for their use in direct marketing. This replaces any choice communicated by the Client to Po Sang prior to this application. Please note that the Client above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in Po Sang's Data Policy Notice. Please also refer to the said Notice on the kinds of personal data which may be used in direct marketing and the classes of persons to which the Client's personal data may be provided for them to use in direct marketing.

客戶簽署(並作為式樣) (第一申請人) Client's Signature (also as specimen) (Primary Applicant)	客戶簽署 (並作為式樣) (第二申請人) Client's Signature (also as specimen) (Secondary Applicant)
姓名 Name:	姓名 Name:
日期 Date:	日期 Date:
戶口賬號 Account No.:	
<b>簽署安排 Signing Arrangement :</b> 在以上及《交易授權欄》(如有)之簽字所列 式簽字中,任何 式簽字有效。 In the signatures set out above and in the column of "Trading Authorization" (if any), of which any signature(s) will be effective.	

### 其他條款及細則

#### Other Terms and Conditions

##### 1 使用交易密碼之條款及細則 Terms and Conditions for Trading Password

所有已在寶生證券及期貨有限公司(以下簡稱“寶生”)開立證券賬戶而又需要通過電話向寶生發出買賣指示的客戶;必須預先向寶生申領一個個人交易密碼,並遵守下列之條款及細則(「本條款」):

Clients who are maintaining a securities account with Po Sang Securities and Futures Limited ("Po Sang") and who need to give dealing instruction to Po Sang through telephone have to apply for a personal trading password in advance and abide by the following Terms and Conditions (the "Terms"):

- (1) 該交易密碼屬絕對保密性質,在所有賬戶持有人與寶生之間的聯繫和買賣交易上,一經報上賬戶號碼及交易密碼並經寶生確認正確後,一切有關交易指示即屬有效,並即誌入該賬戶,該賬戶持有人必須一概承認該等指示或交易,不論該使用密碼人士是否其本人,寶生將無須就作出或聲稱作出該等指示的人士的授權或身份或其真確性作任何進一步查詢,亦不須對賬戶持有人的損失負任何責任。

The trading password is private and confidential. Any communication and trading instruction from an Account Holder by means of a correct account number and trading password will be deemed by Po Sang as valid and binding on the Account Holder, no matter whether the trading password is in fact provided by the legitimate Account Holder or not. Po Sang will not make any further inquiry as to the authority or identity of the person making or purporting to give such instructions and will take no responsibility for any loss of the Account Holder.

- (2) 如賬戶為聯名戶口,則該聯名戶口的任何其中一名賬戶持有人經使用正確的交易密碼向寶生發出之任何買賣指示,均屬同樣有效,並誌入該交易賬戶,所有該聯名戶口的持有人均必須承認該等指示或交易,寶生亦不須對賬戶持有人的損失負任何責任。

For joint accounts, any dealing instructions given by either one of the Account Holders of a joint account by means of a correct trading password will be deemed as equally valid and binding on each Account Holders. Po Sang will take no responsibility for any loss of the Account Holders.

- (3) 倘若客戶經電話所提供的帳戶號碼、交易密碼或經電話傳遞的交易指示資料上有任何錯誤或遺漏之處,寶生保留絕對權利以決定是否承認、終止或撤銷該項交易。

Po Sang reserves the right whether to accept, terminate or withdraw the transaction of a client if any information about account number, trading password or dealing instruction in relation to the transaction provided by the client through telephone is incorrect or incomplete.

- (4) 倘若當客戶向寶生發出買賣指示時與寶生的電話聯繫發生任何機件或技術故障,則一切有關買賣指示的資料以當時處理該指示的寶生職員所作之記錄為準。

In case of any mechanical or technical failures while a client is giving dealing instructions to Po Sang by telephone, all relevant details of the dealing instructions will base on the record kept by the Po Sang staff who is handling the instructions at that time

- (5) 寶生保留絕對權利以書面通知形式拒絕或終止某些客戶通過電話向寶生發出買賣指示之安排,並可取消某些客戶的交易密碼,而無須說明理由。

Po Sang reserves absolute rights to decline or terminate by means of a written notice the arrangement for allowing certain clients to give dealing instructions to Po Sang by telephone. Po Sang can also cancel the trading password of certain clients without any explanation.

- (6) 除本條款外,一切通過電話進行之交易及有關事宜,亦必須根據客戶與寶生訂定之客戶協議進行。

Besides the Terms, any transactions and related details given by telephone shall be also subjected to the Client Agreement between the Client and Po Sang.

<b>2 確認收悉 Confirmation of Receipt</b>
客戶確認收到： The customer confirms receipt of: 1. <input type="checkbox"/> 《證券交易帳戶協議》《Agreement for Securities Trading Account》 2. <input type="checkbox"/> 《風險披露聲明》《Risk Disclosure Statement》

<b>3 客戶確認及承諾 Client Acknowledgement and Consent</b>
<ul style="list-style-type: none"> <li>● 吾(等)確認詳閱、明白及同意接受寶生《使用密碼規則》的約束並當切實遵守 (以寶生不時修訂之版本為準)。          I/We the undersigned acknowledge that I/we have read, understood and consent the contents of the 《Using password regulations》 (Subject to the final updated version of Po Sang from time to time).</li> <li>● 吾(等)確認已收妥 寶生的《證券交易帳戶協議》內文件及各類交易密碼函 (如已領用)。吾(等)聲明吾(等)向寶生提供的所有個人資料(a) 均藉合法的方法收集；及(b) 盡吾(等)所知的所有要項上均為有效、真實、完整、準確及最新。吾(等)同意確保，就寶生收集及由吾(等)提供予寶生的所有相關個人資料，已從資料當事人取得所需的同意，且資料當事人知悉寶生可以其不時提供給吾(等)的《資料政策通告》中所載目的，根據寶生對使用及披露個人資料的政策去使用、轉移及披露其個人資料及資訊，而該等資料當事人知悉他們可擁有要求查閱及改正寶生持有其資料的法律權利。          I/We the undersigned acknowledge that I/we have received the《Agreement for Securities Trading Account》and various trading PIN or password letter (if applied) from Po Sang . I/We represent that all personal data provided by me/us to the Po Sang (a) has been collected by lawful means; and (b) is valid, true, complete, accurate and up-to-date in all material respects so far as I/we am/are aware. I/We agree to ensure that, in relation to all personal data collected by and provided to the Po Sang by me/us, all necessary consent required from the data subjects have been obtained and that the data subjects are aware that our personal data and information may be used, transferred or disclosed by Po Sang in accordance with its policies on the use and disclosure of personal data as set out in its Data Policy Notice made available by Po Sang to me/us from time to time and that those data subjects are aware that they may have legal rights of access to and correction of information held about them by Po Sang.</li> <li>● 吾(等)確認已獲 寶生提供吾(等)選擇的語言 (中文或英文) 版本，閱讀、清楚瞭解及明白該等《風險披露聲明》，並獲 寶生邀請提出問題及徵求獨立的意見。吾(等)聲明、知悉及確認吾(等)已獲 寶生提供吾(等)選擇的語言(中文或英文)版本的風險披露聲明，並獲 寶生邀請提出問題及徵求獨立的意見。吾(等)進一步聲明、知悉及確認吾(等)已仔細閱讀風險披露聲明的內容、吾(等)的所有疑問(如有)已獲妥善解答及解釋，且吾(等)已完全明白風險披露聲明所提及產品的有關主要特點及其所附帶的風險。          I/We the undersigned acknowledge that I/we were provided the 《Risk Disclosure Statement》 in a language (English or Chinese) of my/our choice from Po Sang. I/We further acknowledge that I/we have read, deeply understood the contents of the Statement, as well as I/we were invited to ask questions and take independent advice if I/we wish. I/We the undersigned declare, acknowledge and confirm that I/we were provided the Risk Disclosure Statements in a language (English or Chinese) of my/our choice from Po Sang and that I/we were invited to ask questions and take independent advice if I/we wish. I/We further declare, acknowledge and confirm that I/we have read carefully the contents of the Risk Disclosure Statements and that all my/our enquiries (if any) have been duly answered and explained and that I/we understand fully the relevant key features and risks associated with the products mentioned in the Risk Disclosure Statements.</li> </ul> <p style="text-align: right;">客戶簽署：          Client's Signature _____</p> <p style="text-align: right;">日期 Date _____</p>

<b>見證人 Witness</b>	
見證人姓名： Witness Name: _____	職業 Occupation: _____
如於香港以外地方簽署，須由正式任命的國際公證人、律師或其他相關能力人士見證簽署。 If signed outside of Hong Kong, signing of this Agreement should be witnessed by a duly appointed Notary Public, solicitor or other competent person.	
見證人簽署 Witness Signature _____ 日期 Date: _____	

<b>寶生證券及期貨有限公司持牌人士聲明 Declaration by Po Sang Securities and Futures Limited Licensed Person</b>	
已向客戶提供以客戶選擇語言(英文或中文)所撰寫的風險披露聲明；及 已邀請客戶參閱風險披露聲明，並按客戶的意願提出問題及諮詢獨立意見。 I, named below, a licensed person of Po Sang Securities and Futures Limited, hereby declare that I have: Provided to the above-named Client the risk disclosure statements in a language of the Client's choice (English or Chinese); and Invited the Client to read the risk disclosure statement, ask questions and take independent advice if the Client wishes.	持牌人士簽署 Licensed Person Signature _____ 日期 Date: _____
持牌人士姓名： Licensed Person Name: _____	持牌編號 CE No.: _____

<b>For Internal Use Only</b>				
<b>證券交易賬戶協議版本 Agreement for Securities Trading Account Version:</b> _____				
客戶主任群組名稱 (如適用) Name of AE Group (If applicable) :		客戶主任編號 AE Code :		
佣金費率 Commission Rate :				
非自動化交易渠道 Non-automated Trading Channels :		(最低收費) (Min. Charge)		
自動化交易渠道 Automated Trading Channels :		(最低收費) (Min. Charge)		
孖展貸款利率 (只適用於證券孖展賬戶) Margin Loan Interest Rate (Only applicable to Securities Margin Account) :				
T+2 交易額度 (如適用) T+2 Trading Limit (if applicable) :		買入額度 Purchasing Limit :		沽出額度 Sale Limit :
客戶主任佣金分成計劃 (如適用) Commission Sharing Scheme of AE :				
客戶服務 Customer Services		結算 Settlement		批核及接納: Approved and Accepted By
處理: Handled by 日期 Date :	覆核 Checked by 日期 Date :	處理: Handled by 日期 Date :	覆核 Checked by 日期 Date :	
備註 Remark :				