

致：寶生證券及期貨有限公司(“寶生”)

To：PO SANG SECURITIES AND FUTURES LIMITED (“Po Sang”)

香港德輔道中71號永安集團大廈1樓 1/F Wing On House, Des Voeux Road Central, Hong Kong

滬港通及深港通北向交易服務下的個人資料收集聲明

Personal Information Collection Statement concerning Northbound Trading Services of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect

我(們)確認我(們)已閱讀並理解載於《經滬港通、深港通買賣中國A股及進行中國A股孖展交易的注意事項》(「注意事項」)第19點關於個人資料收集的條款和目的(以寶生不時修訂之版本為準)。

I/We acknowledge I/We have read and understood the content of “the Important Notice of Trading China A Shares and A Shares Margin Trading via Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect”(“the Important Notice”) regarding Personal Information Collection set out in paragraph 19, content of which is subject to revision from time to time.

通過剔選下面的方格，我(們)表示我(們)同意寶生根據「注意事項」中的條款和目的使用本人/我們的個人資料，特別是參考當中第19點描述。

By ticking the box below, I/we signify my/our consent for Po Sang to use my/our personal data on the terms of and for the purposes set out in the Important Notice, in particular with reference to paragraph 19 as mentioned.

我(們)同意寶生將本人/我們的個人資料用於「注意事項」第19點所述的目的或其他「注意事項」中所述的用途。

I agree to Po Sang’s use of my/our personal data for the purposes set out in paragraph 19 of the Important Notice or as set out in the Important Notice in general.

我(們)不同意寶生將本人/我們的個人資料用於「注意事項」第19點所述的目的

I disagree to Po Sang’s use of my personal data for the purposes set out in paragraph 19 of the Important Notice.

如客戶未能提供個人資料或有關授權的後果

Consequences of failing to provide Personal Data or Consent

本人/我們明白我/我們未能向寶生提供上述我/我們的個人資料或有關授權，這可能代表著寶生將不會或不再能夠執行我/我們的交易或向我/我們提供滬港通及深港通北向交易服務。

I/We understand my/our failure to provide Po Sang with my/our personal data or consent as described above may mean that Po Sang will not, or no longer be able, as the case may be, to carry out my/our trading instructions or provide me/us with Po Sang’s Northbound Trading Services of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect.

客戶簽署 Signed by account holder(s)：

S.V.

請用已提交給寶生之印鑑/簽章 Please use the seal/signature(s) filed with Po Sang

證券賬戶號碼 Securities A/C no.:

日期 Date: